TAX RETURN FILING INSTRUCTIONS

FORM 990-PF

FOR THE YEAR ENDING

DECEMBER 31, 2016

Prepared for	NEW YORK FOUNDATION 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327
Prepared by	PKF O'CONNOR DAVIES, LLP 665 FIFTH AVENUE NEW YORK, NY 10022-5342
Amount due or refund	AN OVERPAYMENT OF $22,859. THE ENTIRE OVERPAYMENT HAS BEEN APPLIED TO THE ESTIMATED TAX PAYMENTS.
Make check payable to	NO AMOUNT IS DUE.
Mail tax return and check (if applicable) to	DEPARTMENT OF THE TREASURY INTERNAL REVENUE SERVICE CENTER OGDEN, UT 84201-0027
Return must be mailed on or before	NOVEMBER 15, 2017
Special Instructions	THE RETURN SHOULD BE SIGNED AND DATED. PLEASE NOTE THAT THE FORM 990-PF RETURN CONTAINS EXCESS DISTRIBUTION CARRYOVER OF $7,293,891. THIS MAY BE APPLIED TO TAX YEAR 2017 AND SUBSEQUENT YEARS. WE RECOMMEND ALL MAILINGS TO TAXING AUTHORITIES BE MADE BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED. PLEASE RETAIN THE RECEIPT AS PROOF OF TIMELY FILING. PLEASE REVIEW YOUR RETURN FOR COMPLETENESS AND ACCURACY. A COPY OF THE RETURN IS ENCLOSED FOR YOUR FILES. WE SUGGEST THAT YOU RETAIN THE COPY INDEFINITELY.

Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: NEW YORK FOUNDATION

A Employer identification number: 13-5626345

Number and street (or P.O. box number if mail is not delivered to street address): 10 EAST 34TH STREET, 10TH FL | Room/suite:

B Telephone number: 212 594-8009

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10016-4327

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 60,099,977.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,923,500.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	3,528.	3,528.		STATEMENT 1
	4 Dividends and interest from securities	821,552.	1,028,942.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,486,838.			
	b Gross sales price for all assets on line 6a 9,248,297.				
	7 Capital gain net income (from Part IV, line 2)		2,518,400.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	258,325.	59,679.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	5,493,743.	3,610,549.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	190,711.	19,071.		171,640.
	14 Other employee salaries and wages	460,732.	46,073.		414,659.
	15 Pension plans, employee benefits	163,565.	16,357.		148,822.
	16a Legal fees STMT 4	6,240.	0.		6,240.
	b Accounting fees STMT 5	50,768.	22,632.		38,136.
	c Other professional fees STMT 6	396,076.	125,278.		203,810.
	17 Interest		12,153.		
	18 Taxes STMT 7	65,150.	15,606.		0.
	19 Depreciation and depletion	52,664.	5,266.		
	20 Occupancy	348,970.	34,897.		307,068.
	21 Travel, conferences, and meetings	50,524.	0.		50,524.
	22 Printing and publications				
	23 Other expenses STMT 8	261,178.	54,136.		255,485.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,046,578.	351,469.		1,596,384.
	25 Contributions, gifts, grants paid	4,164,318.			4,166,818.
	26 **Total expenses and disbursements.** Add lines 24 and 25	6,210,896.	351,469.		5,763,202.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-717,153.			
	b **Net investment income** (if negative, enter -0-)		3,259,080.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only.

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,443,211.	948,350.	948,350.
	2 Savings and temporary cash investments	433,712.	602,308.	602,308.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable		255,500.	255,500.
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	44,581.	34,968.	34,968.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	58,692,956.	57,926,121.	57,926,121.
	14 Land, buildings, and equipment: basis ▶ 750,681.			
	Less: accumulated depreciation STMT 13 ▶ 477,417.	325,928.	273,264.	273,264.
	15 Other assets (describe ▶ SECURITY DEPOSITS)	59,466.	59,466.	59,466.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	60,999,854.	60,099,977.	60,099,977.
Liabilities	17 Accounts payable and accrued expenses	41,415.	32,365.	
	18 Grants payable	646,250.	643,750.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 14)	23,500.	235,850.	
	23 **Total liabilities** (add lines 17 through 22)	711,165.	911,965.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	30,696,284.	30,225,959.	
	25 Temporarily restricted	27,192,405.	26,562,053.	
	26 Permanently restricted	2,400,000.	2,400,000.	
	Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	60,288,689.	59,188,012.	
	31 **Total liabilities and net assets/fund balances**	60,999,854.	60,099,977.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	60,288,689.
2	Enter amount from Part I, line 27a	2	-717,153.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	3,700.
4	Add lines 1, 2, and 3	4	59,575,236.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	387,224.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	59,188,012.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	9,248,297.		7,235,074.	2,518,400.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				2,518,400.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } ... **2** 2,518,400.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 ... **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	3,816,384.	62,775,857.	.060794
2014	3,985,927.	64,494,351.	.061803
2013	4,146,660.	61,429,773.	.067502
2012	4,522,244.	57,075,311.	.079233
2011	5,088,830.	60,195,069.	.084539

2	**Total** of line 1, column (d)	2	.353871
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.070774
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	58,644,620.
5	Multiply line 4 by line 3	5	4,150,514.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	32,591.
7	Add lines 5 and 6	7	4,183,105.
8	Enter qualifying distributions from Part XII, line 4	8	5,763,202.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.			
Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	32,591.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	32,591.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	32,591.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 55,450.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	55,450.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	22,859.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 22,859. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
(1) On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.NYF.ORG

14 The books are in care of ▶ MARIA MOTTOLA Telephone no. ▶ 212 594-8009

Located at ▶ 10 EAST 34TH STREET, 10TH FL, NEW YORK, NY ZIP+4 ▶ 10016-4327

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.			
	▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A — **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		190,711.	29,840.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KEVIN RYAN - 10 EAST 34TH STREET, 10 FL, NEW YORK, NY 10016-4327	PROGRAM DIRECTOR 40.00	130,106.	30,864.	0.
EDNA IRIARTE - 10 EAST 34TH STREET, 10 FL, NEW YORK, NY 10016-4327	PROGRAM OFFICER 40.00	94,084.	12,953.	0.
ISABEL RIVERA - 10 EAST 34TH STREET, 10 FL, NEW YORK, NY 10016-4327	GRANTS MANAGER 40.00	85,296.	12,678.	0.
MELISSA ELLISON - 10 EAST 34TH STREET, 10 FL, NEW YORK, NY	OPERATIONS MANAGER 40.00	83,100.	10,798.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
JOAN BYRON - 235 BERKELEY PLACE, APT. 2, BROOKLYN, NY 11217	PROGRAM CONSULTANT	110,004.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS - 520 MADISON AVENUE, 30TH FL, NEW YORK, NY 10022	INVESTMENT MANAGER	65,616.
CLEARBROOK ASSOCIATES 825 3RD AVENUE, 31ST FL, NEW YORK, NY 10022	INVESTMENT ADVISOR	59,335.
SILCHESTER INTERNATIONAL 50 SOUTH LASALLE STREET, CHICAGO, IL 60603	INVESTMENT MANAGER	54,987.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	57,931,265.
b	Average of monthly cash balances	1b	1,519,628.
c	Fair market value of all other assets	1c	86,792.
d	**Total** (add lines 1a, b, and c)	1d	59,537,685.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	59,537,685.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	893,065.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	58,644,620.
6	**Minimum investment return.** Enter 5% of line 5	6	2,932,231.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,932,231.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 32,591.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	32,591.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,899,640.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,899,640.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,899,640.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,763,202.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,763,202.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	32,591.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,730,611.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,899,640.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 ... 2,148,027.				
b From 2012 ... 1,713,580.				
c From 2013 ... 1,144,973.				
d From 2014 ... 814,823.				
e From 2015 ... 756,953.				
f Total of lines 3a through e	6,578,356.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 5,763,202.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				2,899,640.
e Remaining amount distributed out of corpus	2,863,562.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	9,441,918.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	1,701,500.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	446,527.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	7,293,891.			
10 Analysis of line 9:				
a Excess from 2012 ... 1,713,580.				
b Excess from 2013 ... 1,144,973.				
c Excess from 2014 ... 814,823.				
d Excess from 2015 ... 756,953.				
e Excess from 2016 ... 2,863,562.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Total SEE CONTINUATION SHEET(S)			▶ **3a**	4,166,818.
b *Approved for future payment*				
Total SEE CONTINUATION SHEET(S)			▶ **3b**	643,750.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,528.	
4 Dividends and interest from securities			14	821,552.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	258,325.	
8 Gain or (loss) from sales of assets other than inventory			18	2,486,838.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		3,570,243.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) .... 13 3,570,243.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date | Title: EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
CHRISTOPHER PETERMANN				P00097440

Firm's name ▶ PKF O'CONNOR DAVIES, LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022-5342 — Phone no. 212 286-2600

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	CERBERUS INTERNATIONAL, LTD.	P	VARIOUS	VARIOUS
c	CERBERUS INTERNATIONAL II, LTD.	P	VARIOUS	VARIOUS
d	CERBERUS INTERNATIONAL SPV, LTD.	P	VARIOUS	VARIOUS
e	ARCHIPELAGO HOLDINGS, LTD.	P	VARIOUS	VARIOUS
f	THRU SCHEDULE K-1'S	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,317,204.		6,146,344.	1,170,860.
b	126,541.		9,897.	116,644.
c	355.		229.	126.
d	155,611.		77,912.	77,699.
e	1,500,000.		1,000,692.	499,308.
f				505,177.
g	148,586.			148,586.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,170,860.
b				116,644.
c				126.
d				77,699.
e				499,308.
f				505,177.
g				148,586.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	2,518,400.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ADHIKAAR FOR HUMAN RIGHTS AND SOCIAL JUSTICE 71-07 WOODSIDE AVE WOODSIDE, NY 11377	N/A	PC	TO BUILD THE LEADERSHIP OF NEPALI-SPEAKING IMMIGRANTS WHO WORK PRIMARILY AS CAREGIVERS OR IN NAIL SALONS.	40,000.
ADHIKAAR FOR HUMAN RIGHTS AND SOCIAL JUSTICE 71-07 WOODSIDE AVE WOODSIDE, NY 11377	N/A	PC	FOR PROFESSIONAL DEVELOPMENT TO PREPARE FOR A LEADERSHIP TRANSITION.	5,000.
ADVOCACY INSTITUTE C/O BROOKLYN CREATIVE LEAGUE, 540 PRESIDENT ST, 3RD FL BROOKLYN, NY 11215	N/A	PC	TO SUPPORT THE LEGISLATIVE ADVOCACY WORK OF SOCIAL JUSTICE AND MOVEMENT-BUILDING ORGANIZATIONS IN NEW YORK CITY.	25,000.
ADVOCACY INSTITUTE C/O BROOKLYN CREATIVE LEAGUE, 540 PRESIDENT ST, 3RD FL BROOKLYN, NY 11215	N/A	PC	FOR A STATEWIDE STRATEGY SESSION FOLLOWING THE NOVEMBER ELECTIONS.	5,000.
ADVOCACY INSTITUTE C/O BROOKLYN CREATIVE LEAGUE, 540 PRESIDENT ST, 3RD FL BROOKLYN, NY 11215	N/A	PC	TO OFFER ITS LEGISLATIVE ADVOCACY TRAINING AND TOOLS TO ORGANIZERS THROUGHOUT NEW YORK STATE.	65,000.
AFRICAN COMMUNITIES TOGETHER 127 WEST 127TH ST, STE 324 NEW YORK, NY 10027	N/A	PC	TO CONNECT AFRICAN IMMIGRANTS LIVING IN NEW YORK TO SERVICES, LEADERSHIP TRAINING, AND TO DEVELOP ADVOCACY AND ORGANIZING CAMPAIGNS FOR SYSTEMIC CHANGE.	40,000.
ALIGN: ALLIANCE FOR A GREATER NEW YORK 50 BROADWAY, 29TH FL NEW YORK, NY 10004	N/A	PC	GENERAL SUPPORT TO PROMOTE ECONOMIC OPPORTUNITY AND CLIMATE CHANGE SOLUTIONS THROUGH COLLABORATIVE CAMPAIGNS FOR GOOD LOCAL JOBS, SUSTAINABLE ENVIRONMENTS, AND ACCOUNTABLE DEMOCRACY.	125,000.
Total from continuation sheets				4,166,818.

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	FOR A CIVIL ENGAGEMENT AND LEADERSHIP TRAINING PROGRAM FOR ARAB WOMEN ENROLLED IN ELA (ENGLISH AS A SECOND LANGUAGE) CLASSES.	20,000.
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	FOR A CIVIL ENGAGEMENT AND LEADERSHIP TRAINING PROGRAM FOR ARAB WOMEN.	20,000.
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	TO MOBILIZE A LARGE NUMBER OF VOLUNTEERS TO ACTIVELY PROVIDE SAFETY AND PROTECTION TO ARABS, MUSLIMS, IMMIGRANTS, LGBTQ FOLKS, AND PEOPLE OF COLOR.	5,000.
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	AN HONORARIUM FOR PARTICIPATING IN COMBATING ISLAMOPHOBIA ON FEBRUARY 2, 2016.	500.
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	AN HONORARIUM FOR PARTICIPATION AT OUR DECEMBER 7, 2016 BOARD MEETING.	500.
ARAB-AMERICAN FAMILY SUPPORT CENTER, THE 150 COURT ST, 3RD FL BROOKLYN, NY 11201	N/A	PC	AN HONORARIUM FOR PARTICIPATING IN COMBATING ISLAMOPHOBIA ON FEBRUARY 2, 2016.	500.
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT 50 BROAD ST, STE 1402 NEW YORK, NY 10004	N/A	PC	TO PRESENT A WORKSHOP TO NYC CAPACITY BUILDING FUNDERS COLLABORATIVE GRANTEES ENTITLED: EFFECTIVE COMMUNITY ORGANIZING TRAINING PROGRAM 2016.	24,880.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT 50 BROAD ST, STE 1402 NEW YORK, NY 10004	N/A	PC	TO SUPPORT STRATEGIC PROGRAM OF APPRENTICESHIPS AND ADDITIONAL TRAINING AND RESOURCES THAT INCREASE CAPACITY OF GRASSROOTS HOUSING AND ADVOCACY ORGANIZATION ACROSS NEW YORK CITY.	30,000.
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT 50 BROAD ST, STE 1402 NEW YORK, NY 10004	N/A	PC	FOR DEVELOPMENT OF ANTI-DISPLACEMENT MAPPING TOOL.	7,000.
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT 50 BROAD ST, STE 1402 NEW YORK, NY 10004	N/A	PC	FOR A MAPPING PROJECT TO TRACK THE SALES OF MULTI-FAMILY UNITS IN EACH COMMUNITY BOARD DISTRICT.	25,000.
ASSOCIATION OF BLACK FOUNDATION EXECUTIVES 333 SEVENTH AVE, 14TH FL NEW YORK, NY 10001	N/A	PC	TO ENGAGE A CONSULTANT TO MANAGE THE BLACK SOCIAL CHANGE FUNDERS NETWORK.	5,000.
AUDRE LORDE PROJECT, INC., THE 147 WEST 24TH ST, 3RD FL NEW YORK, NY 10011	N/A	PC	AN HONORARIUM FOR PARTICIPATION AS A PANELIST AT THE 2016 GRASSROOTS FUNDRAISING TRAININGS SERIES FOR NYC FUNDERS CAPACITY BUILDING COLLABORATIVE GRANTEES.	150.
BANANA KELLY COMMUNITY IMPROVEMENT ASSOCIATION 863 PROSPECT AVE BRONX, NY 10459	N/A	PC	TO SUPPORT COMMUNITY OUTREACH AND RESIDENT ENGAGEMENT EFFORTS.	20,000.
BANGLADESHI AMERICAN COMMUNITY DEVELOPMENT & YOUTH SERVICES 181 FORBELL ST, STE #1 BROOKLYN, NY 11208	N/A	PC	TO PROVIDE SERVICES AND ENCOURAGE CIVIC PARTICIPATION AMONG THE BANGLADESHI RESIDENTS OF EAST NEW YORK.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BANGLADESHI AMERICAN COMMUNITY DEVELOPMENT & YOUTH SERVICES 181 FORBELL ST, STE #1 BROOKLYN, NY 11208	N/A	PC	TO HIRE CONSULTANTS TO UPGRADE TECHNOLOGY.	1,700.
BANGLADESHI AMERICAN COMMUNITY DEVELOPMENT & YOUTH SERVICES 181 FORBELL ST, STE #1 BROOKLYN, NY 11208	N/A	PC	FOR TWO PART-TIME COLLEGE-AGE INTERNS TO CONDUCT A PARTICIPATORY ACTION RESEARCH PROJECT FOR A NEEDS ASSESSMENT THE BANGLADESHI COMMUNITY IN CITY LINE, BROOKLYN AND OZONE PARK, QUEENS.	5,770.
BLACK ALLIANCE FOR JUST IMMIGRATION 660 NOSTRAND AVE BROOKLYN, NY 11216	N/A	PC	TO ORGANIZE BLACK IMMIGRANTS IN BROOKLYN AND THE BRONX AROUND POLICING, MASS INCARCERATION, IMMIGRANT DETENTION, AND ENDING DEPORTATIONS.	20,000.
BLACK IMMIGRANT ENGAGEMENT INITIATIVE C/O NEW YORK IMMIGRATION COALITION, 131 WEST 33TH ST, STE 610 NEW YORK, NY 10001	N/A	PC	FOR A COLLABORATIVE EFFORT TO ENGAGE, MOBILIZE, AND SUPPORT BLACK IMMIGRANTS IN NEW YORK CITY.	20,000.
BLACK WOMEN'S BLUEPRINT 279 EMPIRE BOULEVARD BROOKLYN, NY 11225	N/A	PC	TO COMBINE RESEARCH AND DOCUMENTATION TO PROVIDE DOMESTIC VIOLENCE VICTIMS WITH A PUBLIC PLATFORM TO HOLD PERPETRATORS ACCOUNTABLE.	40,000.
BLACK WOMEN'S BLUEPRINT 279 EMPIRE BOULEVARD BROOKLYN, NY 11225	N/A	PC	TO FACILITATE AN ANNUAL STAFF RETREAT.	5,000.
BLACK WOMEN'S BLUEPRINT 279 EMPIRE BOULEVARD BROOKLYN, NY 11225	N/A	PC	FOR TWO PART-TIME INTERNS TO SUPPORT A CAMPAIGN FOCUSED ON ENDING SEXUAL VIOLENCE IN BROWNSVILLE, BROOKLYN.	5,770.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRANDWORKERS PO BOX 1257 LONG ISLAND CITY, NY 11101	N/A	PC	TO ORGANIZE CAMPAIGNS FOR WORKERS' RIGHTS AND TO DEVELOP LEADERSHIP OF ITS MEMBERS.	40,000.
BRANDWORKERS PO BOX 1257 LONG ISLAND CITY, NY 11101	N/A	PC	FOR AN EVENT PLANNING CONSULTANT TO EXPAND BRANDWORKERS' INDIVIDUAL DONOR BASE AND INCREASE REVENUE FROM ITS AWARD DINNER.	5,000.
BRIDGE STREET DEVELOPMENT CORPORATION 460 NOSTRAND AVE BROOKLYN, NY 11216	N/A	PC	FOR A MULTISERVICE ORGANIZATION THAT ADDRESSES EDUCATIONAL, HEALTH, AND RELATED ISSUES IN CENTRAL BROOKLYN.	40,000.
BRIDGE STREET DEVELOPMENT CORPORATION 460 NOSTRAND AVE BROOKLYN, NY 11216	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO PARTICIPATE IN TENANT OUTREACH TO INCREASE THE NUMBER OF RESIDENTS IN CENTRAL BROOKLYN TO ENGAGE IN TENANT ASSOCIATION AND DISPLACEMENT PREVENTION EFFORTS.	5,770.
BROOKLYN MOVEMENT CENTER 375 STUYVESANT AVE BROOKLYN, NY 11233	N/A	PC	TO BUILD A MEMBERSHIP-LED, DIRECT-ACTION, COMMUNITY-ORGANIZING GROUP BASED IN BEDFORD-STUYVESANT/CROWN HEIGHTS.	22,500.
BROOKLYN MOVEMENT CENTER 375 STUYVESANT AVE BROOKLYN, NY 11233	N/A	PC	TO FACILITATE A STRATEGIC PLANNING PROCESS.	5,000.
CAAAV: ORGANIZING ASIAN COMMUNITIES 55 HESTER ST NEW YORK, NY 10002	N/A	PC	TO BUILD GRASSROOTS POWER ACROSS ASIAN IMMIGRANT AND REFUGEE COMMUNITIES.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAAAV: ORGANIZING ASIAN COMMUNITIES 55 HESTER ST NEW YORK, NY 10002	N/A	PC	AN HONORARIUM FOR PARTICIPATION AS A PANELIST AT THE 2016 GRASSROOTS FUNDRAISING TRAININGS SERIES FOR NYC FUNDERS CAPACITY BUILDING COLLABORATIVE GRANTEES.	150.
CAAAV: ORGANIZING ASIAN COMMUNITIES 55 HESTER ST NEW YORK, NY 10002	N/A	PC	TO PROVIDE SOMATICS COACHING TO ORGANIZERS.	5,000.
CENTER FOR FRONTLINE RETAIL 7 PENN PLAZA, 14TH FL NEW YORK, NY 10001	N/A	PC	TO BUILD THE POWER OF NEW YORK CITY RETAIL WORKERS TO IMPROVE WAGES AND WORKING CONDITIONS IN THE RETAIL INDUSTRY.	42,500.
CENTER FOR FRONTLINE RETAIL 7 PENN PLAZA, 14TH FL NEW YORK, NY 10001	N/A	PC	TO HIRE A CONSULTANT TO ASSIST THE ORGANIZATION WITH STRATEGIC VISIONING, FUNDRAISING STRATEGY, AND BOARD DEVELOPMENT.	5,000.
CENTER FOR FRONTLINE RETAIL 7 PENN PLAZA, 14TH FL NEW YORK, NY 10001	N/A	PC	FOR TWO PART-TIME COLLEGE-AGE INTERNS TO ORGANIZE RETAIL WORKERS AND HELP INCREASE AWARENESS OF ISSUES IN THE RETAIL INDUSTRY TO CONSUMERS.	5,770.
CENTER FOR NEW YORK CITY NEIGHBORHOODS 17 BATTERY PLACE, STE 728 NEW YORK, NY 10004	N/A	PC	TO CONDUCT AN ANALYSIS OF THE LONG-TERM HOUSING AFFORDABILITY IMPACTS OF COMMUNITY LAND TRUST HOMEOWNERSHIP.	15,000.
CENTER FOR POPULAR DEMOCRACY 449 TROUTMAN ST, STE A BROOKLYN, NY 11237	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	100.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR URBAN PEDAGOGY, THE (CUP) 232 THIRD ST, #D201 BROOKLYN, NY 11215	N/A	PC	FOR THE COMMUNITY EDUCATION PROGRAMS, THAT PRODUCE TOOLS CREATED FOR AND IN COLLABORATION WITH COMMUNITY GROUPS ADDRESSING ISSUES OF SOCIAL JUSTICE AND INEQUITY.	30,000.
CENTER FOR URBAN PEDAGOGY, THE (CUP) 232 THIRD ST, #D201 BROOKLYN, NY 11215	N/A	PC	TO DEVELOP AND DEPLOY POPULAR EDUCATION MATERIALS THAT WILL HELP NEIGHBORHOOD RESIDENTS UNDERSTAND KEY HOUSING, PLANNING, AND DEVELOPMENT ISSUES.	30,000.
CHHAYA COMMUNITY DEVELOPMENT CORPORATION 37-43 77TH ST, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	FOR COMMUNITY ORGANIZING AND ADVOCACY PROGRAMS THAT PROMOTE TENANTS' RIGHTS, AFFORDABLE HOUSING, AND CIVIC ENGAGEMENT.	40,000.
CHHAYA COMMUNITY DEVELOPMENT CORPORATION 37-43 77TH ST, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	FOR TRAINING IN FISCAL MANAGEMENT AND COMMUNICATIONS.	5,000.
CHHAYA COMMUNITY DEVELOPMENT CORPORATION 37-43 77TH ST, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	AN HONORARIUM FOR PARTICIPATING IN COMBATING ISLAMOPHOBIA ON FEBRUARY 2, 2016.	500.
CHURCHES UNITED FOR FAIR HOUSING 66 WHIPPLE ST BROOKLYN, NY 11206	N/A	PC	TO SUPPORT COMMUNITY ORGANIZING AND ADVOCACY TO ADDRESS THE NEED FOR AFFORDABLE HOUSING IN NORTH BROOKLYN.	20,000.
CITY LIMITS 394 BROADWAY, 4TH FL NEW YORK, NY 10013	N/A	PC	TO CREATE A DIGITAL, ONE-STOP-SHOP FOR INFORMATION AND ENGAGEMENT ABOUT NEW YORK CITY'S NEIGHBORHOOD REZONING.	130,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY LIMITS 394 BROADWAY, 4TH FL NEW YORK, NY 10013	N/A	PC	40TH ANNIVERSARY GALA	5,000.
COMMUNITIES UNITED FOR POLICE REFORM 520 EIGHTH AVE, #1800 NEW YORK, NY 10018	N/A	PC	TO END DISCRIMINATORY AND ABUSIVE POLICING PRACTICES IN NEW YORK CITY.	20,000.
COMMUNITY ACTION FOR SAFE APARTMENTS C/O NEW SETTLEMENT APARTMENTS, 1512 TOWNSEND AVE BRONX, NY 10452	N/A	PC	FOR A TENANT-LED CAMPAIGNS TO REFORM THE HOUSING COURT, PROTECT TENANTS DISPLACED BY HARASSMENT, STOP ILLEGAL RENT FEES, AND ENSURE THAT COMMUNITY RESIDENTS HAVE A SAY IN THE DECISIONS ABOUT NEIGHBORHOOD REZONING.	40,000.
COMMUNITY ACTION FOR SAFE APARTMENTS C/O NEW SETTLEMENT APARTMENTS, 1512 TOWNSEND AVE BRONX, NY 10452	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO COORDINATE A SUMMER OUTREACH INITIATIVE TO INCREASE THE NUMBER OF NEIGHBORHOOD RESIDENTS IN THE BRONX TO ENGAGE IN TENANTS' RIGHTS CAMPAIGNS.	5,770.
COMMUNITY ACTION FOR SAFE APARTMENTS C/O NEW SETTLEMENT APARTMENTS, 1512 TOWNSEND AVE BRONX, NY 10452	N/A	PC	TO ENGAGE LOCAL RESIDENTS AND BUSINESS OWNERS IN THE JEROME AVENUE REZONING PROCESS.	100,000.
COMMUNITY FOOD ADVOCATES 110 WALL ST NEW YORK, NY 10005	N/A	PC	FOR A CAMPAIGN FOR UNIVERSAL FREE SCHOOL LUNCH FOR ALL NEW YORK CITY PUBLIC SCHOOLS STUDENTS AND TO BUILD THE ORGANIZATION'S ORGANIZING AND COALITION BUILDING INFRASTRUCTURE.	40,000.
COMMUNITY FOOD ADVOCATES 110 WALL ST NEW YORK, NY 10005	N/A	PC	TO HIRE A MEDIA RELATIONS CONSULTANT FOR THE LUNCH 4 LEARNING CAMPAIGN.	5,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY RESOURCE EXCHANGE 42 BROADWAY, 20TH FL NEW YORK, NY 10004	N/A	PC	TO PROVIDE INDIVIDUAL TECHNICAL ASSISTANCE TO NEW YORK FOUNDATION GRANTEES.	75,000.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	TO EXPAND THE ORGANIZATION'S REACH INTO KEY NEIGHBORHOODS THROUGH THE FORMATION OF LOCAL ORGANIZING COMMITTEES.	40,000.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	TO COVER FACILITATION OF A BOARD AND STAFF RETREAT TO ENSURE ALIGNMENT FOLLOWING A LEADERSHIP TRANSITION.	5,000.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	AN HONORARIUM FOR PARTICIPATION AT OUR DECEMBER 7, 2016 BOARD MEETING.	500.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	FOR TWO STAFF MEMBERS TO ATTEND THE JUNE 2016 NEIGHBORHOODS FUNDERS GROUP CONFERENCE IN OAKLAND, CA.	2,830.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	FOR TWO PART-TIME COLLEGE-AGE INTERNS TO ASSIST IN GENERAL OUTREACH AND ONE-ON-ONE MEETINGS WITH PUBLIC HOUSING RESIDENTS IN EAST HARLEM AND THE SOUTH BRONX FOR DEVELOPING MEMBER-RUN LOCAL ORGANIZING COMMITTEES.	5,770.
COMMUNITY VOICES HEARD 115 EAST 106TH ST, 3RD FL NEW YORK, NY 10029	N/A	PC	TO BUILD A NEIGHBORHOOD PLANNING AND COMMUNITY ENGAGEMENT CONNECTED TO THE EAST HARLEM REZONING PLAN.	100,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION 625 JAMAICA AVE BROOKLYN, NY 11208	N/A	PC	TO ORGANIZE LOCAL RESIDENTS AND OTHER STAKEHOLDERS TO ENSURE THAT THE REZONING PROCESS IS EQUITABLE AND FAIR.	100,000.
DAMAYAN MIGRANT WORKERS ASSOCIATION 406 WEST 40TH ST, 3RD FL NEW YORK, NY 10018	N/A	PC	TO SUSTAIN AND EXPAND A CAMPAIGN TO PROTECT THE RIGHTS OF LOW-WAGE WORKERS, PARTICULARLY FILIPINO DOMESTIC WORKERS.	20,000.
DAMAYAN MIGRANT WORKERS ASSOCIATION 406 WEST 40TH ST, 3RD FL NEW YORK, NY 10018	N/A	PC	TO HIRE A FINANCIAL MANAGEMENT CONSULTANT TO UPDATE THE ORGANIZATION'S FISCAL SYSTEM.	5,000.
DIGNITY IN SCHOOLS CAMPAIGN-NY C/O NATIONAL ECONOMIC & SOCIAL RIGHTS INITIATIVE, 90 JOHN ST, STE 308 NEW YORK, NY 10038	N/A	PC	FOR A COALITION OF YOUTH, PARENTS, EDUCATORS, AND ADVOCATES WORKING TO END HARSH DISCIPLINARY POLICIES IN NEW YORK CITY'S SCHOOLS.	40,000.
DRUM - DESIS RISING UP & MOVING 72-18 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	TO IMPLEMENT MEMBER-LED EXPANSION OF PROGRAMMING INTO BROOKLYN, THE BRONX, AND EASTERN QUEENS.	40,000.
DRUM - DESIS RISING UP & MOVING 72-18 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	TO ENGAGE THE CARACOL INTERPRETER'S COLLABORATIVE TO SUPPORT A TWO-DAY LANGUAGE JUSTICE WORKSHOP FOR MEMBERS.	5,000.
DRUM - DESIS RISING UP & MOVING 72-18 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	AN HONORARIUM FOR PARTICIPATING IN COMBATING ISLAMOPHOBIA ON FEBRUARY 2, 2016.	500.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DRUM - DESIS RISING UP & MOVING 72-18 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	FOR A FULL-TIME INTERN TO ASSIST IN PROVIDING SOUTH ASIAN YOUTH WITH EDUCATION AND LEADERSHIP DEVELOPMENT TRAINING.	5,770.
EAST FLATBUSH VILLAGE 461 EAST 46TH ST BROOKLYN, NY 11203	N/A	PC	TO ADDRESS GUN VIOLENCE IN CENTRAL BROOKLYN THROUGH YOUTH DEVELOPMENT PROGRAMS AND ADVOCACY.	20,000.
EQUALITY FOR FLATBUSH 237 FLATBUSH AVE, #193 BROOKLYN, NY 11217	N/A	PC	GENERAL SUPPORT TO ORGANIZE RESIDENTS OF FLATBUSH TO DEMAND POLICE ACCOUNTABILITY AND AFFORDABLE HOUSING.	40,000.
EQUALITY FOR FLATBUSH 237 FLATBUSH AVE, #193 BROOKLYN, NY 11217	N/A	PC	TO HIRE THE MAKE AGENCY TO DESIGN AND COORDINATE A MEDIA STRATEGY.	5,000.
FAITH IN NEW YORK 103-04 39TH AVE, #105 CORONA, NY 11368	N/A	PC	TO STRENGTHEN AND EXPAND ITS ECONOMIC JUSTICE, AFFORDABLE HOUSING, CRIMINAL JUSTICE, AND CIVIC-ENGAGEMENT CAMPAIGNS.	20,000.
FAMILY COMMUNITY LIFE CENTER 1018 NORTHVILLE TURNPIKE RIVERHEAD, NY 11901	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	100.
FIFTH AVENUE COMMITTEE 621 DEGRAW ST BROOKLYN, NY 11217	N/A	PC	AN HONORARIUM FOR PARTICIPATION AT OUR DECEMBER 7, 2016 BOARD MEETING.	500.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIFTH AVENUE COMMITTEE 621 DEGRAW ST BROOKLYN, NY 11217	N/A	PC	TO ENSURE THAT LOCAL RESIDENTS DIRECTLY IMPACTED BY THE REZONING OF THE GOWANUS AREA HAVE AN OPPORTUNITY TO PARTICIPATE MEANINGFULLY IN DECISIONS THAT WILL AFFECT THEM AND THEIR COMMUNITY.	100,000.
FLUSHING WORKERS CENTER PO BOX 528119 FLUSHING, NY 11355	N/A	PC	FOR A WORKERS' CENTER, LED BY IMMIGRANT LOW-WAGE WORKERS.	22,500.
FUND FOR NEW CITIZENS, THE AT THE NEW YORK COMMUNITY TRUST, 909 THIRD AVE NEW YORK, NY 10022	N/A	PC	TO SUPPORT A COLLABORATIVE INITIATIVE THAT PROVIDES GRANTS TO COMMUNITY-BASED ORGANIZATIONS THAT SERVE IMMIGRANTS.	25,000.
GOOD OLD LOWER EAST SIDE 173 AVE B NEW YORK, NY 10009	N/A	PC	TO BUILD THE ORGANIZATION'S CAPACITY TO ADDRESS THE CHANGING NEEDS OF LOWER EAST SIDE RESIDENTS.	40,000.
GOOD OLD LOWER EAST SIDE 173 AVE B NEW YORK, NY 10009	N/A	PC	FOR A FULL-TIME OR TWO PART-TIME HIGH SCHOOL/COLLEGE-AGE INTERNS TO WORK ON PUBLIC HOUSING CAMPAIGNS IN NEW YORK CITY'S LOWER EAST SIDE.	5,770.
HESTER STREET COLLABORATIVE 113 HESTER ST NEW YORK, NY 10002	N/A	PC	TO ENSURE THAT NEIGHBORHOOD RESIDENTS HAVE A VOICE IN HOW THEIR BUILT ENVIRONMENT IS SHAPED.	170,000.
IMPACCT BROOKLYN 1000 DEAN ST, STE 420 BROOKLYN, NY 11238	N/A	PC	FOR THE COMMUNITY ORGANIZING AND PROGRAM EXPANSION INITIATIVE, AIMED AT STOPPING THE DISPLACEMENT OF LONG-TERM RESIDENTS IN CENTRAL BROOKLYN.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
IMPACCT BROOKLYN 1000 DEAN ST, STE 420 BROOKLYN, NY 11238	N/A	PC	TO SUPPORT THE ORGANIZATION'S LEADERSHIP TRANSITION AND TO CREATE A STRATEGIC PLAN FOR HIRING A NEW EXECUTIVE DIRECTOR.	5,000.
JOINT OWNERSHIP ENTITY NEW YORK CORP. (JOE NYC) FORSYTH ST, 588 BROADWAY, STE 1208 NEW YORK, NY 10012	N/A	PC	FOR A COMMUNITY-BASED HOUSING SECTOR COLLABORATIVE THAT WILL PRESERVE AND DEVELOP LONG-TERM AFFORDABLE HOUSING FOR LOW- AND MODERATE-INCOME TENANTS.	40,000.
JOINT OWNERSHIP ENTITY NEW YORK CORP. (JOE NYC) FORSYTH ST, 588 BROADWAY, STE 1208 NEW YORK, NY 10012	N/A	PC	TO PRESERVE AND DEVELOP LONG-TERM AFFORDABLE HOUSING FOR LOW- AND MODERATE-INCOME TENANTS.	75,000.
JUSTLEADERSHIPUSA 1900 LEXINGTON AVE NEW YORK, NY 10035	N/A	PC	TO MOBILIZE COMMUNITY MEMBERS WHO ARE DIRECTLY IMPACTED BY MASS INCARCERATION IN A CAMPAIGN TO CLOSE RIKERS ISLAND AND TO REPAIR THE HARM CAUSED TO THESE COMMUNITIES.	40,000.
LA COLMENA 774 PORT RICHMOND AVE, 2ND FL STATEN ISLAND, NY 10302	N/A	PC	FOR A MEMBERSHIP-BASED ORGANIZATION OF IMMIGRANT WORKERS ON STATEN ISLAND.	20,000.
LAUNDRY WORKERS CENTER 80 BROAD ST, STE 613A NEW YORK, NY 10004	N/A	PC	TO EMPOWER LOW-INCOME, MINORITY, AND IMMIGRANT COMMUNITIES THROUGH TRANSFORMATIVE ORGANIZING, EDUCATION, CIVIC PARTICIPATION, AND POLICY ADVOCACY INITIATIVES.	40,000.
LAUNDRY WORKERS CENTER 80 BROAD ST, STE 613A NEW YORK, NY 10004	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO ASSIST IN CONDUCTING OUTREACH AND A PARTICIPATORY ACTION RESEARCH PROJECT WITH LAUNDROMAT WORKERS.	5,770.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAWYERS ALLIANCE FOR NEW YORK 171 MADISON AVE, 6TH FL NEW YORK, NY 10016	N/A	PC	TO PROVIDE PRO BONO COUNSEL AND OTHER DIRECT SERVICES TO MEMBERS OF THE NONPROFIT COMMUNITY.	35,000.
LAWYERS ALLIANCE FOR NEW YORK 171 MADISON AVE, 6TH FL NEW YORK, NY 10016	N/A	PC	PRESENTATION OF TWO WORKSHOPS FOR NEW YORK FOUNDATION GRANTEES ENTITLED "EFFECTIVE LEGISLATIVE ADVOCACY BY NONPROFIT ORGANIZATIONS" AND "WORKING TOGETHER: LEGAL ISSUES FOR ADVOCACY COALITIONS" ON JANUARY 13 AND APRIL 21, 2017.	10,000.
LGBT FAITH LEADERS OF AFRICAN DESCENT PO BOX 14 CATHEDRAL STATION NEW YORK, NY 10025	N/A	PC	TO CHALLENGE NEGATIVE VALUES, HATE SPEECH, AND HOSTILITY FACED BY BLACK LGBT PEOPLE IN FAITH COMMUNITY.	22,500.
MAKE THE ROAD NEW YORK 301 GROVE ST BROOKLYN, NY 11237	N/A	PC	TO ENSURE THAT THE FULL DIVERSITY OF LOCAL RESIDENTS DIRECTLY IMPACTED BY THE REZONING OF STAPLETON AND THE BAY STREET CORRIDOR OF STATEN ISLAND HAVE AN OPPORTUNITY TO PARTICIPATE MEANINGFULLY IN DECISIONS THAT WILL AFFECT THEM AND THEIR COMMUNITY.	100,000.
MASA-MEXED 2770 THIRD AVE, 1ST FL BRONX, NY 10455	N/A	PC	TO PROMOTE ACCESS TO HIGHER EDUCATION FOR STUDENTS OF MEXICAN DESCENT LIVING IN NEW YORK CITY, AND TO ENGAGE THEIR PARENTS AND THE LARGER MEXICAN COMMUNITY AROUND ISSUES OF EDUCATION REFORM.	42,500.
MEKONG 2471 UNIVERSITY AVE BRONX, NY 10468	N/A	PC	TO PROVIDE COMMUNITY ORGANIZING, HEALING, EDUCATION, ARTS, CULTURE, LANGUAGE SERVICES, AND IMPROVED ACCESS TO SOCIAL SERVICES TO THE SOUTHEAST ASIAN COMMUNITY.	42,500.
MET COUNCIL ON HOUSING 168 CANAL ST, 6TH FL NEW YORK, NY 10013	N/A	PC	TO TRAIN TENANT COUNSELORS, ORGANIZE TENANT ASSOCIATIONS, AND INVOLVE MORE TENANTS IN THE CITYWIDE MOVEMENT FOR EXPANDED TENANTS' RIGHTS.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MINKWON CENTER FOR COMMUNITY ACTION 136-19 41ST AVE, 3RD FL FLUSHING, NY 11355	N/A	PC	TO BUILD THE ORGANIZATION'S CAPACITY TO ORGANIZE LOW-INCOME KOREAN AMERICAN IMMIGRANTS, TENANTS, AND WORKERS IN FLUSHING, QUEENS.	40,000.
MINKWON CENTER FOR COMMUNITY ACTION 136-19 41ST AVE, 3RD FL FLUSHING, NY 11355	N/A	PC	FOR A STAFF MANAGEMENT TRAINING LEAD BY THE MANAGEMENT CENTER ON NOVEMBER 18, 2016.	5,000.
MINKWON CENTER FOR COMMUNITY ACTION 136-19 41ST AVE, 3RD FL FLUSHING, NY 11355	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO ORGANIZE AND ADVOCATE ON BEHALF OF THE COMMUNITY BY WORKING ON VOTER REGISTRATION OUTREACH, VOTER'S RIGHTS EDUCATION, YOUTH EMPOWERMENT, AND DREAMER GROUP ORGANIZATION EFFORTS.	5,770.
MINKWON CENTER FOR COMMUNITY ACTION 136-19 41ST AVE, 3RD FL FLUSHING, NY 11355	N/A	PC	TO EDUCATE COMMUNITY MEMBERS ABOUT THE REZONING PROCESS AND TO ADVOCATE FOR THE INCORPORATION OF ITS KEY PRINCIPLES IN THE PROPOSAL PUT FORWARD BY THE DEPARTMENT OF CITY PLANNING.	25,000.
NEIGHBORHOODS FIRST FUND C/O NEW YORK FOUNDATION, 10 E. 34TH ST, 10TH FL NEW YORK, NY 10016	N/A	PC	A PHILANTHROPIC COLLABORATIVE THAT SUPPORTS COMMUNITY-BASED ORGANIZATION S WORKING TO ENSURE THAT PLANS ADVANCED BY THE CITY S ADMINISTRATION ADDRESS THE NEEDS AND ASPIRATIONS OF THE NEIGHBORHOODS TARGETED FOR REDEVELOPMENT AND REZONING.	75,000.
NEW IMMIGRANT COMMUNITY EMPOWERMENT 71-29 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	FOR A CAMPAIGN TO USE THE CITY LICENSING PROCESS AS LEVERAGE FOR WORKER PROTECTION AGAINST WAGE THEFT AND SAFETY VIOLATIONS.	20,000.
NEW YORK CITY CHANGE CAPITAL FUND C/O UNITED WAY OF NEW YORK, 205 EAST 42 ST NEW YORK, NY 10017	N/A	PC	TO SUPPORT THE DEVELOPMENT AND IMPLEMENTATION OF INNOVATIVE MODELS FOR ADDRESSING CONCENTRATED POVERTY BY COMMUNITY DEVELOPMENT CORPORATIONS.	50,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK CITY ENVIRONMENTAL JUSTICE ALLIANCE 166A 22ND ST BROOKLYN, NY 11232	N/A	PC	FOR AN ADVOCACY CAMPAIGN TO IDENTIFY AND REDUCE VULNERABILITIES OF LOW-INCOME COMMUNITIES OF COLOR THROUGH CLIMATE CHANGE POLICY INITIATIVES.	40,000.
NEW YORK CITY ENVIRONMENTAL JUSTICE ALLIANCE 166A 22ND ST BROOKLYN, NY 11232	N/A	PC	TO HIRE A CONSULTANT TO REDESIGN WEBSITE.	5,000.
NEW YORK COALITION TO EXPAND VOTING RIGHTS C/O NEW YORK IMMIGRATION COALITION, 131 WEST 33RD ST, STE 610 NEW YORK, NY 10001	N/A	PC	TO GAIN MUNICIPAL VOTING RIGHTS FOR NON-CITIZEN NEW YORKERS.	25,000.
NEW YORK COMMUNITIES FOR CHANG ONE METROTECH CENTER NORTH, 11TH FL BROOKLYN, NY 11201	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	200.
NEW YORK COMMUNITIES ORGANIZING FUND ONE METROTECH CENTER NORTH, 11TH FL BROOKLYN, NY 11201	N/A	PC	FOR A CAMPAIGN TO IMPROVE WAGES AND WORKING CONDITIONS OF DRIVERS AFFILIATED WITH RIDE-SHARING COMPANIES.	20,000.
NEW YORK COMMUNITY TRUST 909 THIRD AVE NEW YORK, NY 10022	N/A	PC	SUPPORT FOR A NEW COLLABORATIVE FUND TO PRESERVE CRITICAL CONSTITUTIONAL AND SOCIAL PROTECTIONS FOR NEW YORKERS DURING THIS TIME OF CHANGE.	75,000.
NORTH FORK SPANISH APOSTOLATE 546 ST JOHNS PL RIVERHEAD, NY 11901	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	100.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTHWEST BRONX COMMUNITY AND CLERGY COALITION 103 EAST 196TH ST, CENTRAL OFFICE BRONX, NY 10468	N/A	PC	FOR SUPPORT DURING A LEADERSHIP TRANSITION AND FOR ITS CONTINUING CAMPAIGN TO TRANSFORM THE KINGSBRIDGE ARMORY.	40,000.
NORTHWEST BRONX COMMUNITY AND CLERGY COALITION 103 EAST 196TH ST, CENTRAL OFFICE BRONX, NY 10468	N/A	PC	FOR NORTHWEST BRONX COMMUNITY AND CLERGY COALITION AND VOCAL NEW YORK MEMBERS TO ATTEND THE NATIONAL PEOPLE'S ACTION TRANSFORMATIVE LEADERSHIP TRAINING ON APRIL 17-23 IN GARRISON, NY.	4,000.
NY FUNDERS ALLIANCE 431 E. FAYETTE ST SYRACUSE, NY 13202	N/A	PC	FOR A RACIAL EQUITY TRAINING FOR NEW YORK FUNDERS ALLIANCE MEMBERS.	6,750.
NYC COALITION FOR EDUCATIONAL JUSTICE C/O ANNENBERG INSTITUTE FOR SCHOOL REFORM, 233 BROADWAY, STE 720 NEW YORK, NY 10279	N/A	PC	TO BUILD A CITYWIDE ADVOCACY NETWORK OF PARENTS, STUDENTS, AND COMMUNITY ORGANIZATIONS COMMITTED TO THE POSITIVE IMPLEMENTATION, SUSTAINABILITY, AND EXPANSION OF COMMUNITY SCHOOLS IN NEW YORK CITY.	150,000.
OUR LADY OF THE MIRACULOUS MED 1434 STRAIGHT PATH WYANDANCH, NY 11798	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	100.
PARTICIPATORY BUDGETING PROJECT, THE 540 PRESIDENT ST, 1ST FL BROOKLYN, NY 11215	N/A	PC	TO SUPPORT THE EXPANSION OF PARTICIPATORY BUDGETING IN NEW YORK CITY TO MORE THAN 20 CITY COUNCIL DISTRICTS.	20,000.
PARTICIPATORY BUDGETING PROJECT, THE 540 PRESIDENT ST, 1ST FL BROOKLYN, NY 11215	N/A	PC	TO SUPPORT THE EXPANSION OF PARTICIPATORY BUDGETING IN NEW YORK CITY.	20,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARTICIPATORY BUDGETING PROJECT, THE 540 PRESIDENT ST, 1ST FL BROOKLYN, NY 11215	N/A	PC	FOR NEW BOARD MEMBER TO ATTEND THE PARTICIPATORY BUDGETING CONFERENCE, MAY 20-22, 2016 IN BOSTON, MA.	888.
PARTICIPATORY BUDGETING PROJECT, THE 540 PRESIDENT ST, 1ST FL BROOKLYN, NY 11215	N/A	PC	TO IMPROVE ITS TRAINING CURRICULUM FOR ORGANIZERS AND FACILITATORS.	5,000.
PICTURE THE HOMELESS 104 EAST 126TH ST, #1B NEW YORK, NY 10035	N/A	PC	TO SUPPORT PICTURE THE HOMELESS AND ITS PARTNERS TO IMPLEMENT A PILOT PROJECT IN EAST HARLEM TO DEMONSTRATE THE FEASIBILITY OF COMMUNITY LAND TRUSTS.	75,000.
PRATT CENTER FOR COMMUNITY DEVELOPMENT 200 WILLOUGHBY AVE BROOKLYN, NY 11205	N/A	PC	TO SERVE AS THE OVERALL CONVENER AND FACILITATOR OF COLLABORATIVE EFFORTS AMONG THE FIVE ORGANIZATIONS PROVIDING TECHNICAL ASSISTANCE IN THE TARGETED NEIGHBORHOODS.	60,000.
QUEER DETAINEE EMPOWERMENT PROJECT 601 WEST 26TH ST, OFFICE #325, MAILBOX #222 NEW YORK, NY 10001	N/A	PC	FOR AN ORGANIZATION THAT PROVIDES SERVICES, ADVOCACY AND ORGANIZING SUPPORT FOR QUEER, TRANSGENDER, AND HIV POSITIVE DOCUMENTED AND UNDOCUMENTED IMMIGRANTS.	40,000.
QUEER DETAINEE EMPOWERMENT PROJECT 601 WEST 26TH ST, OFFICE #325, MAILBOX #222 NEW YORK, NY 10001	N/A	PC	FOR TRAVEL TO THE BLACK IMMIGRANT NETWORK KINSHIP ASSEMBLY IN LOS ANGELES, CA.	2,000.
REAL INCREASE, SECURED EARNINGS PROJECT C/O NATIONAL MOBILIZATION AGAINST SWEATSHOPS, PO BOX 130293 NEW YORK, NY 10013	N/A	PC	FOR A COLLABORATIVE PROJECT TO STRENGTHEN LABOR-LAW ENFORCEMENT FOR LOW-WAGE WORKERS.	20,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REAL INCREASE, SECURED EARNINGS PROJECT C/O NATIONAL MOBILIZATION AGAINST SWEATSHOPS, PO BOX 130293 NEW YORK, NY 10013	N/A	PC	TO PARTICIPATE IN THE BATTLE FOR THE 40 HOUR WORK WEEK CONFERENCE IN CHICAGO.	2,000.
REAL INCREASE, SECURED EARNINGS PROJECT C/O NATIONAL MOBILIZATION AGAINST SWEATSHOPS, PO BOX 130293 NEW YORK, NY 10013	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO PARTICIPATE IN WORKER OUTREACH, RESEARCH AND COALITION BUILDINGS IN CAMPAIGN TO HOLD EMPLOYERS ACCOUNTABLE FOR WAGE THEFT.	5,770.
RELEASE AGING PEOPLE IN PRISON CAMPAIGN C/O THE CORRECTIONAL ASSOCIATION OF NEW YORK, 22 CORTLANDT ST, 33RD FL NEW YORK, NY 10007	N/A	PC	FOR A CAMPAIGN TO INCREASE THE RELEASE RATE OF ELDERLY INCARCERATED PEOPLE IN NEW YORK STATE PRISONS.	40,000.
RELEASE AGING PEOPLE IN PRISON CAMPAIGN C/O THE CORRECTIONAL ASSOCIATION OF NEW YORK, 22 CORTLANDT ST, 33RD FL NEW YORK, NY 10007	N/A	PC	FOR EXPENSES RELATED TO QUEENS COMMUNITY FORUM HELD ON JULY 9, 2016 IN JAMAICA, QUEENS.	500.
RIDERS ALLIANCE 121 SIXTH AVE, 6TH FL NEW YORK, NY 10013	N/A	PC	TO ORGANIZE TRANSIT RIDERS, WITH AN EMPHASIS ON THE COMMUNITIES OF BEDFORD-STUYVESANT AND CROWN HEIGHTS IN BROOKLYN AND SUNNYSIDE AND LONG ISLAND CITY IN QUEENS.	40,000.
RIDERS ALLIANCE 121 SIXTH AVE, 6TH FL NEW YORK, NY 10013	N/A	PC	TO HIRE A NONPROFIT EVENT PROFESSIONAL TO ASSIST WITH THE ORGANZIATION'S ANNUAL FUNDRAISER.	5,000.
RIDERS ALLIANCE 121 SIXTH AVE, 6TH FL NEW YORK, NY 10013	N/A	PC	FOR A FULL-TIME COLLEGE-AGE INTERN TO PROVIDE ORGANIZING SUPPORT FOR CAMPAIGN TO REDUCE FARES FOR LOW-INCOME RIDERS IN NEW YORK CITY.	5,770.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RIGHT TO THE CITY - NYC 388 ATLANTIC AVE, 2ND FL BROOKLYN, NY 11217	N/A	PC	FOR TECHNICAL ASSISTANCE TO BUILD ITS ORGANIZATIONAL CAPACITY, INCLUDING: TO EXPAND ITS FISCAL SPONSORSHIP PROGRAM, SUPPORT BOARD LEADERSHIP TRANSITIONS AND IDENTIFICATION OF NEW BOARD MEMBERS,	2,000.
ROADMAP C/O COMMON COUNSEL FOUNDATION, 405 FOURTEENTH ST, STE 809 OAKLAND, CA 94612	N/A	PC	TO PRESENT A WORKSHOP FOR THE NYC CAPACITY BUILDING FUNDERS COLLABORATIVE GRANTEES ENTITLED, WEATHERING THE STORM HELD MAY 18, 2016 IN NEW YORK CITY.	7,500.
ROCKAWAY YOUTH TASK FORCE 1920 MOTT AVE, 2ND FL, STE #4 FAR ROCKAWAY, NY 11691	N/A	PC	TO CREATE A COMMUNITY OF CIVICALLY ENGAGED YOUTH IN THE ROCKAWAYS.	40,000.
ROCKAWAY YOUTH TASK FORCE 1920 MOTT AVE, 2ND FL, STE #4 FAR ROCKAWAY, NY 11691	N/A	PC	TO HIRE A FUNDRAISING CONSULTANT.	5,000.
RURAL & MIGRANT MINISTR PO BOX 4757 POUGHKEEPSIE, NY 12602	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	200.
SPIRIT IN ACTION 21 WILBRAHAM ST UNIT 103D # C3 PALMER, MA 01069	N/A	PC	TO PRESENT A 4-DAY WORKSHOP SERIES ENTITLED, "THE ART OF CREATING YOUR LIFE AND LEADERSHIP AS YOU WANT IT," TO NYC CAPACITY BUILDING FUNDERS COLLABORATIVE GRANTEES.	15,000.
STREET VENDOR PROJECT 40 RECTOR ST, 9TH FL NEW YORK, NY 10006	N/A	PC	TO SUPPORT ITS ADVOCACY WORK ON BEHALF OF STREET VENDORS.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STRONG YOUTH 599 JERUSALEM AVE UNIONDALE, NY 11553	N/A	PC	FOR THE SHEDDING LIGHT ON LONG ISLAND LEARNING TOUR MARCH 30 AND 31, 2016.	200.
SYLVIA RIVERA LAW PROJECT 147 WEST 24TH ST, 5TH FL NEW YORK, NY 10011	N/A	PC	TO ELIMINATE THE LEGAL AND SYSTEMIC BARRIERS THAT PREVENT LOW-INCOME TRANSGENDER PEOPLE FROM FULLY PARTICIPATING IN SOCIAL, ECONOMIC AND POLITICAL LIFE.	40,000.
THE WATERSHED CENTER 44 KAYE ROAD MILLERTON, NY 12546	N/A	PC	FOR A TWO-DAY STAY AT THE WATERSHED CENTER FOR PARTICIPANTS ATTENDING THE WORKSHOP SERIES ENTITLED "THE ART OF CREATING YOUR LIFE AND LEADERSHIP AS YOU WANT IT," TAKING PLACE IN MAY 2016.	3,960.
TRI-STATE TRANSPORTATION CAMPAIGN 350 WEST 31ST ST, STE 802 NEW YORK, NY 10001	N/A	PC	TO ADVANCE A STATEWIDE HIGHWAY REMOVAL CAMPAIGN TO REWORK LAND USE PATTERNS.	20,000.
TURNING POINT FOR WOMEN AND FAMILIES PO BOX 670086 FLUSHING, NY 11367	N/A	PC	AN HONORARIUM FOR PARTICIPATING IN COMBATING ISLAMOPHOBIA ON FEBRUARY 2, 2016.	500.
UGNAYAN YOUTH FOR JUSTICE AND SOCIAL CHANGE 72-18 ROOSEVELT AVE JACKSON HEIGHTS, NY 11372	N/A	PC	TO ENABLE FILIPINO YOUTH TO ORGANIZE FOR ECONOMIC, RACIAL, IMMIGRANT AND ECONOMIC JUSTICE.	40,000.
UGNAYAN YOUTH FOR JUSTICE AND SOCIAL CHANGE 72-18 ROOSEVELT AVE JACKSON HEIGHTS, NY 11372	N/A	PC	FOR A FULL-TIME HIGH SCHOOL INTERN TO PROVIDE ORGANIZING SUPPORT WITH SUMMER COMMUNITY ORGANIZING PROGRAM AND CAMPAIGN TO END INSTITUTIONALIZED BULLYING IN SCHOOLS.	5,770.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
URBAN JUSTICE CENTER - COMMUNITY DEVELOPMENT PROJECT 40 RECTOR ST, 9TH FL NEW YORK, NY 10006	N/A	PC	FOR RESEARCH AND POLICY SUPPORT FOR CURRENT AND FORMER GRANTEES.	30,000.
URBAN JUSTICE CENTER - COMMUNITY DEVELOPMENT PROJECT 40 RECTOR ST, 9TH FL NEW YORK, NY 10006	N/A	PC	TO LEAD POLICY RESEARCH AND DEVELOPMENT RELATED TO ANTI-HARASSMENT AND AFFORDABLE HOUSING PRESERVATION STRATEGIES, ON LOCAL HIRING, AND ON MECHANISMS FOR ACCOUNTABILITY IN IMPLEMENTATION... (SEE PROJECT DESCRIPTION FOR FULL DESCRIPTION.)	105,000.
URBAN YOUTH COLLABORATIVE C/O ANNENBERG INSTITUTE FOR SCHOOL REFORM, 726 BROADWAY, 5TH FL NEW YORK, NY 10003	N/A	PC	TO SUPPORT A CAMPAIGN TO ENSURE THAT STUDENTS HAVE ACCESS TO COLLEGE.	40,000.
URBAN YOUTH COLLABORATIVE C/O ANNENBERG INSTITUTE FOR SCHOOL REFORM, 726 BROADWAY, 5TH FL NEW YORK, NY 10003	N/A	PC	AN HONORARIUM FOR PARTICIPATION AT OUR DECEMBER 7, 2016 BOARD MEETING.	500.
VOCAL-NY 80 A FOURTH AVE BROOKLYN, NY 11217	N/A	PC	TO ENSURE THAT PEOPLE LIVING WITH AND WHO ARE AT RISK FOR HEPATITIS C HAVE ACCESS TO TESTING, CARE, TREATMENT, AND PREVENTION.	20,000.
VOCAL-NY 80 A FOURTH AVE BROOKLYN, NY 11217	N/A	PC	TO HIRE CONSULTANTS TO DEVELOP AND IMPLEMENT A STRATEGIC COMMUNICATIONS PLAN.	5,000.
WORKER'S JUSTICE PROJECT 365 BROADWAY BROOKLYN, NY 11211	N/A	PC	TO BUILD THE COLLECTIVE POWER OF DAY LABORERS TO WIN INSTITUTIONAL CHANGE THAT ADVANCES ECONOMIC, RACIAL, AND WORKPLACE JUSTICE.	40,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YOUNG ADVOCATES FOR FAIR EDUCATION 25 WEST 45TH ST, STE 1400 NEW YORK, NY 10036	N/A	PC	TO FIGHT ON BEHALF OF STUDENTS ATTENDING ULTRA-ORTHODOX AND HASIDIC SCHOOLS WHO ARE CURRENTLY BEING DEPRIVED OF A BASIC SECULAR EDUCATION.	20,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ADHIKAAR FOR HUMAN RIGHTS AND SOCIAL JUSTICE 71-07 WOODSIDE AVE WOODSIDE, NY 11377	N/A	PC	TO BUILD THE LEADERSHIP OF NEPALI-SPEAKING IMMIGRANTS WHO WORK PRIMARILY AS CAREGIVERS OR IN NAIL SALONS.	20,000.
AFRICAN COMMUNITIES TOGETHER 127 WEST 127TH ST, STE 324 NEW YORK, NY 10027	N/A	PC	TO CONNECT AFRICAN IMMIGRANTS LIVING IN NEW YORK TO SERVICES, LEADERSHIP TRAINING, AND TO DEVELOP ADVOCACY AND ORGANIZING CAMPAIGNS FOR SYSTEMIC CHANGE.	20,000.
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	N/A	PC	FOR A CIVIL ENGAGEMENT AND LEADERSHIP TRAINING PROGRAM FOR ARAB WOMEN.	20,000.
BANANA KELLY COMMUNITY IMPROVEMENT ASSOCIATION 863 PROSPECT AVE BRONX, NY 10459	N/A	PC	TO SUPPORT COMMUNITY OUTREACH AND RESIDENT ENGAGEMENT EFFORTS.	20,000.
BANGLADESHI AMERICAN COMMUNITY DEVELOPMENT & YOUTH SERVICES 181 FORBELL ST, STE #1 BROOKLYN, NY 11208	N/A	PC	TO PROVIDE SERVICES AND ENCOURAGE CIVIC PARTICIPATION AMONG THE BANGLADESHI RESIDENTS OF EAST NEW YORK.	20,000.
BLACK ALLIANCE FOR JUST IMMIGRATION 660 NOSTRAND AVE BROOKLYN, NY 11216	N/A	PC	TO ORGANIZE BLACK IMMIGRANTS IN BROOKLYN AND THE BRONX AROUND POLICING, MASS INCARCERATION, IMMIGRANT DETENTION, AND ENDING DEPORTATIONS.	20,000.
BRANDWORKERS PO BOX 1257 LONG ISLAND CITY, NY 11101	N/A	PC	TO ORGANIZE CAMPAIGNS FOR WORKERS' RIGHTS AND TO DEVELOP LEADERSHIP OF ITS MEMBERS.	20,000.
Total from continuation sheets				643,750.

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRIDGE STREET DEVELOPMENT CORPORATION 460 NOSTRAND AVE BROOKLYN, NY 11216	N/A	PC	FOR A MULTISERVICE ORGANIZATION THAT ADDRESSES EDUCATIONAL, HEALTH, AND RELATED ISSUES IN CENTRAL BROOKLYN.	20,000.
CAAAV: ORGANIZING ASIAN COMMUNITIES 55 HESTER ST NEW YORK, NY 10002	N/A	PC	TO BUILD GRASSROOTS POWER ACROSS ASIAN IMMIGRANT AND REFUGEE COMMUNITIES.	20,000.
CENTER FOR FRONTLINE RETAIL 7 PENN PLAZA, 14TH FL NEW YORK, NY 10001	N/A	PC	TO BUILD THE POWER OF NEW YORK CITY RETAIL WORKERS TO IMPROVE WAGES AND WORKING CONDITIONS IN THE RETAIL INDUSTRY.	21,250.
CHURCHES UNITED FOR FAIR HOUSING 66 WHIPPLE ST BROOKLYN, NY 11206	N/A	PC	TO SUPPORT COMMUNITY ORGANIZING AND ADVOCACY TO ADDRESS THE NEED FOR AFFORDABLE HOUSING IN NORTH BROOKLYN.	20,000.
COMMUNITIES UNITED FOR POLICE REFORM 520 EIGHTH AVE, #1800 NEW YORK, NY 10018	N/A	PC	TO END DISCRIMINATORY AND ABUSIVE POLICING PRACTICES IN NEW YORK CITY.	20,000.
COMMUNITY FOOD ADVOCATES 110 WALL ST NEW YORK, NY 10005	N/A	PC	FOR A CAMPAIGN FOR UNIVERSAL FREE SCHOOL LUNCH FOR ALL NEW YORK CITY PUBLIC SCHOOLS STUDENTS AND TO BUILD THE ORGANIZATION'S ORGANIZING AND COALITION BUILDING INFRASTRUCTURE.	20,000.
DIGNITY IN SCHOOLS CAMPAIGN-NY C/O NATIONAL ECONOMIC & SOCIAL RIGHTS INITIATIVE, 90 JOHN ST, STE 308 NEW YORK, NY 10038	N/A	PC	FOR A COALITION OF YOUTH, PARENTS, EDUCATORS, AND ADVOCATES WORKING TO END HARSH DISCIPLINARY POLICIES IN NEW YORK CITY'S SCHOOLS.	20,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DRUM - DESIS RISING UP & MOVING 72-18 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	TO IMPLEMENT MEMBER-LED EXPANSION OF PROGRAMMING INTO BROOKLYN, THE BRONX, AND EASTERN QUEENS.	20,000.
EAST FLATBUSH VILLAGE 461 EAST 46TH ST BROOKLYN, NY 11203	N/A	PC	TO ADDRESS GUN VIOLENCE IN CENTRAL BROOKLYN THROUGH YOUTH DEVELOPMENT PROGRAMS AND ADVOCACY.	20,000.
GOOD OLD LOWER EAST SIDE 173 AVE B NEW YORK, NY 10009	N/A	PC	TO BUILD THE ORGANIZATION'S CAPACITY TO ADDRESS THE CHANGING NEEDS OF LOWER EAST SIDE RESIDENTS.	20,000.
LA COLMENA 774 PORT RICHMOND AVE, 2ND FL STATEN ISLAND, NY 10302	N/A	PC	FOR A MEMBERSHIP-BASED ORGANIZATION OF IMMIGRANT WORKERS ON STATEN ISLAND.	20,000.
MASA-MEXED 2770 THIRD AVE, 1ST FL BRONX, NY 10455	N/A	PC	TO PROMOTE ACCESS TO HIGHER EDUCATION FOR STUDENTS OF MEXICAN DESCENT LIVING IN NEW YORK CITY, AND TO ENGAGE THEIR PARENTS AND THE LARGER MEXICAN COMMUNITY ABOUT ISSUES OF EDUCATION REFORM.	21,250.
MEKONG 2471 UNIVERSITY AVE BRONX, NY 10468	N/A	PC	TO PROVIDE COMMUNITY ORGANIZING, HEALING, EDUCATION, ARTS, CULTURE, LANGUAGE SERVICES, AND IMPROVED ACCESS TO SOCIAL SERVICES TO THE SOUTHEAST ASIAN COMMUNITY.	21,250.
MET COUNCIL ON HOUSING 168 CANAL ST, 6TH FL NEW YORK, NY 10013	N/A	PC	TO TRAIN TENANT COUNSELORS, ORGANIZE TENANT ASSOCIATIONS, AND INVOLVE MORE TENANTS IN THE CITYWIDE MOVEMENT FOR EXPANDED TENANTS' RIGHTS.	20,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MINKWON CENTER FOR COMMUNITY ACTION 136-19 41ST AVE, 3RD FL FLUSHING, NY 11355	N/A	PC	TO BUILD THE ORGANIZATION'S CAPACITY TO ORGANIZE LOW-INCOME KOREAN AMERICAN IMMIGRANTS, TENANTS, AND WORKERS IN FLUSHING, QUEENS.	20,000.
NEW IMMIGRANT COMMUNITY EMPOWERMENT 71-29 ROOSEVELT AVE, 2ND FL JACKSON HEIGHTS, NY 11372	N/A	PC	FOR A CAMPAIGN TO USE THE CITY LICENSING PROCESS AS LEVERAGE FOR WORKER PROTECTION AGAINST WAGE THEFT AND SAFETY VIOLATIONS.	20,000.
NEW YORK CITY ENVIRONMENTAL JUSTICE ALLIANCE 166A 22ND ST BROOKLYN, NY 11232	N/A	PC	FOR AN ADVOCACY CAMPAIGN TO IDENTIFY AND REDUCE VULNERABILITIES OF LOW-INCOME COMMUNITIES OF COLOR THROUGH CLIMATE CHANGE POLICY INITIATIVES.	20,000.
NEW YORK COMMUNITIES ORGANIZING FUND ONE METROTECH CENTER NORTH, 11TH FL BROOKLYN, NY 11201	N/A	PC	FOR A CAMPAIGN TO IMPROVE WAGES AND WORKING CONDITIONS OF DRIVERS AFFILIATED WITH RIDE-SHARING COMPANIES.	20,000.
PARTICIPATORY BUDGETING PROJECT, THE 540 PRESIDENT ST, 1ST FL BROOKLYN, NY 11215	N/A	PC	TO SUPPORT THE EXPANSION OF PARTICIPATORY BUDGETING IN NEW YORK CITY.	20,000.
RELEASE AGING PEOPLE IN PRISON CAMPAIGN C/O THE CORRECTIONAL ASSOCIATION OF NEW YORK, 22 CORTLANDT ST, 33RD FL NEW YORK, NY 10007	N/A	PC	FOR A CAMPAIGN TO INCREASE THE RELEASE RATE OF ELDERLY INCARCERATED PEOPLE IN NEW YORK STATE PRISONS.	20,000.
ROCKAWAY YOUTH TASK FORCE 1920 MOTT AVE, 2ND FL, STE #4 FAR ROCKAWAY, NY 11691	N/A	PC	TO CREATE A COMMUNITY OF CIVICALLY ENGAGED YOUTH IN THE ROCKAWAYS.	20,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STREET VENDOR PROJECT 40 RECTOR ST, 9TH FL NEW YORK, NY 10006	N/A	PC	TO SUPPORT ITS ADVOCACY WORK ON BEHALF OF STREET VENDORS.	20,000.
URBAN YOUTH COLLABORATIVE C/O ANNENBERG INSTITUTE FOR SCHOOL REFORM, 726 BROADWAY, 5TH FL NEW YORK, NY 10003	N/A	PC	TO SUPPORT A CAMPAIGN TO ENSURE THAT STUDENTS HAVE ACCESS TO COLLEGE.	20,000.
WORKER'S JUSTICE PROJECT 365 BROADWAY BROOKLYN, NY 11211	N/A	PC	TO BUILD THE COLLECTIVE POWER OF DAY LABORERS TO WIN INSTITUTIONAL CHANGE THAT ADVANCES ECONOMIC, RACIAL, AND WORKPLACE JUSTICE.	20,000.
YOUNG ADVOCATES FOR FAIR EDUCATION 25 WEST 45TH ST, STE 1400 NEW YORK, NY 10036	N/A	PC	TO FIGHT ON BEHALF OF STUDENTS ATTENDING ULTRA-ORTHODOX AND HASIDIC SCHOOLS WHO ARE CURRENTLY BEING DEPRIVED OF A BASIC SECULAR EDUCATION.	20,000.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www.irs.gov/form990*** **.**

OMB No. 1545-0047

2016

Name of the organization	Employer identification number
NEW YORK FOUNDATION	13-5626345

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year .... ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
NEW YORK FOUNDATION	13-5626345

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALTMAN FOUNDATION 8 WEST 40TH STREET, 19TH FLOOR NEW YORK, NY 10018	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	SCHERMAN FOUNDATION 16 EAST 52ND STREET, SUITE 601 NEW YORK, NY 10022	$ 7,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3	DEUTSCHE BANK 60 WALL STREET NEW YORK, NY 10005	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
4	FORD FOUNDATION 1440 BROADWAY NEW YORK, NY 10018	$ 1,120,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
5	HAGEDORN FOUNDATION 225 BRYANT AVENUE ROSLYN, NY 11576	$ 7,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
6	M&T CHARITABLE FOUNDATION 350 PARK AVENUE, 6TH FLOOR NEW YORK, NY 10022	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
NEW YORK FOUNDATION	13-5626345

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	$ 92,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
8	NEW YORK COMMUNITY TRUST 909 THIRD AVENUE, 22ND FLOOR NEW YORK, NY 10022	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
9	SOLIDAGO FOUNDATION 150 MAIN STREET, #24 NORTHHAMPTON, MA 01060	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
10	SURDNA FOUNDATION 330 MADISON AVENUE, 30TH FLOOR NEW YORK, NY 10017	$ 300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
NEW YORK FOUNDATION	13-5626345

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
NEW YORK FOUNDATION	13-5626345

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Form **2220** Department of the Treasury Internal Revenue Service

Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return. FORM 990-PF

▶ Information about Form 2220 and its separate instructions is at *www.irs.gov/form2220.*

OMB No. 1545-0123

2016

Name	Employer identification number
NEW YORK FOUNDATION	13-5626345

Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)			1	32,591.
2 a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a			
b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b			
c	Credit for federal tax paid on fuels (see instructions)	2c			
d	**Total**. Add lines 2a through 2c			2d	
3	Subtract line 2d from line 1. If the result is less than $500, **do not** complete or file this form. The corporation doesn't owe the penalty			3	32,591.
4	Enter the tax shown on the corporation's 2015 income tax return. See instructions. **Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5**			4	79,362.
5	**Required annual payment**. Enter the **smaller** of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3			5	32,591.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it doesn't owe a penalty. See instructions.

6 ☐ The corporation is using the adjusted seasonal installment method.

7 ☒ The corporation is using the annualized income installment method.

8 ☒ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

			(a)	(b)	(c)	(d)
9	**Installment due dates.** Enter in columns (a) through (d) the 15th day of the 4th (*Form 990-PF filers:* Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/16	06/15/16	09/15/16	12/15/16
10	**Required installments.** If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column.	10	3,158.	3,620.	17,665.	8,148.
11	Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	4,450.	25,000.	11,000.	15,000.
	Complete lines 12 through 18 of one column before going to the next column.					
12	Enter amount, if any, from line 18 of the preceding column	12		1,292.	22,672.	16,007.
13	Add lines 11 and 12	13		26,292.	33,672.	31,007.
14	Add amounts on lines 16 and 17 of the preceding column	14				
15	Subtract line 14 from line 13. If zero or less, enter -0-	15	4,450.	26,292.	33,672.	31,007.
16	If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17	**Underpayment.** If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17				
18	**Overpayment.** If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	1,292.	22,672.	16,007.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA **For Paperwork Reduction Act Notice, see separate instructions.** Form **2220** (2016)

612801 01-20-17

Part IV Figuring the Penalty

		(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. ***(C Corporations with tax years ending June 30 and S corporations:*** Use 3rd month instead of 4th month. ***Form 990-PF and Form 990-T filers:*** Use 5th month instead of 4th month.) See instructions	19				
20 Number of days from due date of installment on line 9 to the date shown on line 19	20				
21 Number of days on line 20 after 4/15/2016 and before 7/1/2016	21				
22 Underpayment on line 17 x (Number of days on line 21 / 366) x 4% (0.04)	22	$	$	$	$
23 Number of days on line 20 after 06/30/2016 and before 10/1/2016	23				
24 Underpayment on line 17 x (Number of days on line 23 / 366) x 4% (0.04)	24	$	$	$	$
25 Number of days on line 20 after 9/30/2016 and before 1/1/2017	25				
26 Underpayment on line 17 x (Number of days on line 25 / 366) x 4% (0.04)	26	$	$	$	$
27 Number of days on line 20 after 12/31/2016 and before 4/1/2017	27				
28 Underpayment on line 17 x (Number of days on line 27 / 365) x 4% (0.04)	28	$	$	$	$
29 Number of days on line 20 after 3/31/2017 and before 7/1/2017	29				
30 Underpayment on line 17 x (Number of days on line 29 / 365) x *%	30	$	$	$	$
31 Number of days on line 20 after 6/30/2017 and before 10/1/2017	31				
32 Underpayment on line 17 x (Number of days on line 31 / 365) x *%	32	$	$	$	$
33 Number of days on line 20 after 9/30/2017 and before 1/1/2018	33				
34 Underpayment on line 17 x (Number of days on line 33 / 365) x *%	34	$	$	$	$
35 Number of days on line 20 after 12/31/2017 and before 3/16/2018	35				
36 Underpayment on line 17 x (Number of days on line 35 / 365) x *%	36	$	$	$	$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	$	$	$	$

38 **Penalty.** Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns ..... 38 $ 0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at ***www.irs.gov.*** You can also call 1-800-829-4933 to get interest rate information.

Schedule A **Adjusted Seasonal Installment Method and Annualized Income Installment Method**
See instructions.

Form 1120S filers: *For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.*

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

		(a)	(b)	(c)	(d)
1 Enter taxable income for the following periods:		First 3 months	First 5 months	First 8 months	First 11 months
a Tax year beginning in 2013	1a				
b Tax year beginning in 2014	1b				
c Tax year beginning in 2015	1c				
2 Enter taxable income for each period for the tax year beginning in 2016. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods:		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2013	3a				
b Tax year beginning in 2014	3b				
c Tax year beginning in 2015	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2 or comparable line of corp's return	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a though 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II Annualized Income Installment Method **

		(a)	(b)	(c)	(d)
20 Annualization periods (see instructions)	20	First 2 months	First 3 months	First 6 months	First 9 months
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21	210,531.	338,885.	1,855,634.	2,679,133.
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22	23a	1,263,186.	1,355,540.	3,711,268.	3,572,168.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c	1,263,186.	1,355,540.	3,711,268.	3,572,168.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	24	12,632.	13,555.	37,113.	35,722.
25 Enter any alternative minimum tax for each payment period (see instructions)	25				
26 Enter any other taxes for each payment period. See instr.	26				
27 Total tax. Add lines 24 through 26	27	12,632.	13,555.	37,113.	35,722.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	12,632.	13,555.	37,113.	35,722.
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31	3,158.	6,778.	27,835.	35,722.

Part III Required Installments

Note: Complete lines 32 through 38 of one column before completing the next column.		1st installment	2nd installment	3rd installment	4th installment
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the **smaller** of the amounts in each column from line 19 or line 31	32	3,158.	6,778.	27,835.	35,722.
33 Add the amounts in all preceding columns of line 38. See instructions	33		3,158.	6,778.	24,443.
34 **Adjusted seasonal or annualized income installments.** Subtract line 33 from line 32. If zero or less, enter -0-	34	3,158.	3,620.	21,057.	11,279.
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. **Note:** "Large corporations," see the instructions for line 10 for the amounts to enter	35	8,148.	8,148.	8,147.	8,148.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36		4,990.	9,518.	
37 Add lines 35 and 36	37	8,148.	13,138.	17,665.	8,148.
38 **Required installments.** Enter the **smaller** of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	3,158.	3,620.	17,665.	8,148.

Form **2220** (2016)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SAVINGS ACCOUNT	5.	5.	
TEMPORARY CASH INVESTMENTS	3,523.	3,523.	
TOTAL TO PART I, LINE 3	3,528.	3,528.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PUBLICLY TRADED MUTUAL FUNDS	708,547.	148,586.	559,961.	559,961.	
LIMITED PARTNERSHIPS-THRU SCHEDULE K-1'S	261,591.	0.	261,591.	468,981.	
TO PART I, LINE 4	970,138.	148,586.	821,552.	1,028,942.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME-THRU SCHEDULE K-1'S	258,325.	59,679.	
TOTAL TO FORM 990-PF, PART I, LINE 11	258,325.	59,679.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINSTRATIVE LEGAL FEES	4,350.	0.		4,350.
PROGRAM RELATED LEGAL FEES	1,890.	0.		1,890.
TO FM 990-PF, PG 1, LN 16A	6,240.	0.		6,240.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING	8,330.	6,248.		2,082.
PROGRAM RELATED BOOKKEEPING FEES	9,670.	0.		9,670.
AUDIT AND TAX PREPARATION FEES	32,768.	16,384.		26,384.
TO FORM 990-PF, PG 1, LN 16B	50,768.	22,632.		38,136.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	192,266.	125,278.		0.
PROGRAM RELATED CONSULTANTS	203,810.	0.		203,810.
TO FORM 990-PF, PG 1, LN 16C	396,076.	125,278.		203,810.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	65,150.	0.		0.
FOEIGN TAXES WITHHELD-THRU SCHEDULE K-1'S	0.	15,606.		0.
TO FORM 990-PF, PG 1, LN 18	65,150.	15,606.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMMUNICATIONS	32,425.	0.		32,425.
FILING FEES	1,500.	0.		1,500.
COMPUTER EXPENSES	42,944.	0.		39,144.
WORKSHOPS AND PROGRAM EXPENSES	117,720.	0.		116,713.
OFFICE EQUIPMENT	8,221.	0.		8,221.
DUES AND SUBSCRIPTIONS	24,711.	0.		24,711.
BANK FEES	522.	522.		0.
OFFICE EXPENSES	23,018.	0.		23,018.
INSURANCE	8,480.	0.		8,116.
PAYROLL SERVICES	1,637.	0.		1,637.
OTHER INVESTMENT EXPENSES-THRU SCHEDULE K-1'S	0.	53,614.		0.
TO FORM 990-PF, PG 1, LN 23	261,178.	54,136.		255,485.

FOOTNOTES STATEMENT 9

FORM 990-PF, PART XIII, LINE 7

PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEAR'S DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH TAX YEARS:

TAX YEAR 2011 1,701,500.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
DEFERRED FEDERAL EXCISE TAX BENEFIT	3,700.
TOTAL TO FORM 990-PF, PART III, LINE 3	3,700.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 11

DESCRIPTION	AMOUNT
PRIOR YEAR RESTATEMENT: DEFERRED FEDERAL EXCISE TAX LIABILITY	229,400.
UNREALIZED LOSS ON INVESTMENTS	157,824.
TOTAL TO FORM 990-PF, PART III, LINE 5	387,224.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARTISAN INTERNATIONAL FUND INSTL (APHIX) 128,769.150 SHARES	FMV	3,315,805.	3,315,805.
BLACKROCK STRATEGIC INCOME OPPORTUNITIES (BSIIX) 114,756.143 SHARES	FMV	1,128,053.	1,128,053.
CRA QUALIFIED INVESTMENT INSTITUTIONAL SHARES (CRANX) 94,814.996 SHARES	FMV	997,454.	997,454.
DFA U.S. SMALL CAP PORTFOLIO INSTL (DFSTX) 41,148.773 SHARES	FMV	1,392,474.	1,392,474.
EMERALD GROWTH FUND INSTL (FGROX) 58,626.339 SHARES	FMV	1,253,431.	1,253,431.
FIRST EAGLE FUND OF AMERICA CLASS I (FEAIX) 53,895.316 SHARES	FMV	1,766,688.	1,766,688.
HARTFORD GLOBAL REAL ASSET A (HRLAX) 95,224.472 SHARES	FMV	849,402.	849,402.
SEAFARER OVERSEAS GROWTH AND INCOME FUND INSTL (SIGIX) 66,062.984 SHARES	FMV	735,942.	735,942.
THIRD AVENUE REAL ESTATE VALUE FUND INSTL (TAREX) 36,780.478 SHARES	FMV	1,098,633.	1,098,633.
VANGUARD DIVIDEND APPRECIATION INDEX ADM (VDADX) 144,222.049 SHARES	FMV	3,330,087.	3,330,087.

VANGUARD HIGH DIVIDEND YIELD INDEX INV (VHDYX) 128,726.736 SHARES	FMV	3,857,940.	3,857,940.
VANGUARD MID CAP INDEX ADMIRAL (VIMAX) 6,384.577 SHARES	FMV	1,040,303.	1,040,303.
VANGUARD SHORT-TERM BOND INDEX ADM (VBIRX) 41,776.971 SHARES	FMV	435,734.	435,734.
VANGUARD TOTAL BOND MARKET INDEX ADM (VBTLX) 162,016.849 SHARES	FMV	1,725,481.	1,725,481.
VANGUARD TOTAL STOCK MKT IDX ADM(VTSAX) 130,750.291 SHARES	FMV	7,332,476.	7,332,476.
AG SUPER FUND INTERNATIONAL LTD. 2,106.13 SHARES	FMV	2,963,461.	2,963,461.
ARCHIPELAGO HOLDINGS, LTD 152,660.83 SHARES	FMV	4,211,295.	4,211,295.
CERBERUS INTERNATIONAL II, LTD. 1,721.6785 SHARES	FMV	3,545,590.	3,545,590.
CERBERUS INTERNATIONAL SPV, LTD. 174.32 SHARES	FMV	351,569.	351,569.
CERBERUS INTERNATIONAL, LTD. .2862 SHARES	FMV	284,407.	284,407.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, L.P.	FMV	4,702,653.	4,702,653.
OAKTREE SENIOR LOAN FUND, L.P.	FMV	2,152,617.	2,152,617.
OZ OVERSEAS FUND II, LTD	FMV	3,677,605.	3,677,605.
SILCHESTER INTERNATIONAL INVESTORS INTERNATIONAL VALUE EQUITY TRUST	FMV	5,777,021.	5,777,021.
TOTAL TO FORM 990-PF, PART II, LINE 13		57,926,121.	57,926,121.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE AND EQUIPMENT	166,903.	146,288.	20,615.
LEASEHOLD IMPROVEMENTS	583,778.	331,129.	252,649.
TOTAL TO FM 990-PF, PART II, LN 14	750,681.	477,417.	273,264.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
FEDERAL EXCISE TAX PAYABLE	23,500.	10,150.
DEFERRED FEDERAL EXISE TAX	0.	225,700.
TOTAL TO FORM 990-PF, PART II, LINE 22	23,500.	235,850.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARLENE PROVIZER 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	CHAIRPERSON, TRUSTEE 2.00	0.	0.	0.
ROGER SCHWED 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	VICE CHAIRPERSON, TRUSTEE 2.00	0.	0.	0.
SUE A. KAPLAN 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	SECRETARY, TRUSTEE 2.00	0.	0.	0.
GAIL GORDON 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TREASURER, TRUSTEE 2.00	0.	0.	0.
ROSA ALFONSO-MCGOLDRICK 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
HOLLY DELANY COLE 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
KERRY-ANN EDWARDS 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
CARLA FRANKLIN 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
STEPHEN D. HEYMAN 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
SHEKAR KRISHMAN 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
LILLIAN LLAMBELIS 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.

FITZGERALD MILLER 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
MIKE PRATT 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
VICTOR QUINTANA 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
DAVID RIVEL 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
GABRIEL SAYEGH 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
FATIMA SHAMA 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
DAWN S. WALKER 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
JOHN WEILER 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
KYUNG B. YOON 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	TRUSTEE 1.00	0.	0.	0.
MARIA MOTTOLA 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327	EXECUTIVE DIRECTOR 40.00	190,711.	29,840.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		190,711.	29,840.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 16
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARIA MOTTOLA
10 E 34TH ST, 10TH FL
NEW YORK, NY 10016-4327

TELEPHONE NUMBER

212 594-8009

FORM AND CONTENT OF APPLICATIONS

INITIAL APPLICATION: A BRIEF TWO PAGE DESCRIPTION OF PROGRAM AND FUNDING REQUIREMENTS.

ANY SUBMISSION DEADLINES

MARCH 1ST, JULY 1ST, AND NOVEMBER 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS MADE TO SMALL ORGANIZATIONS LOCATED IN NEW YORK CITY AREA. FOCUS ON POOR, DISADVANTAGED MINORITIES IN THE AREA.

Form **926** (Rev. December 2013) Department of the Treasury Internal Revenue Service

Return by a U.S. Transferor of Property to a Foreign Corporation

▶ Information about Form 926 and its separate instructions is at *www.irs.gov/form926.*

▶ Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Attachment Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor	Identifying number (see instructions)
NEW YORK FOUNDATION	13-5626345

1 If the transferor was a corporation, complete questions 1a through 1d.

a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☐ No

b Did the transferor remain in existence after the transfer? ☐ Yes ☐ No

If not, list the controlling shareholder(s) and their identifying number(s):

Controlling shareholder	Identifying number

c If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☐ No

If not, list the name and employer identification number (EIN) of the parent corporation:

Name of parent corporation	EIN of parent corporation

d Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☐ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

a List the name and EIN of the transferor's partnership:

Name of partnership	EIN of partnership
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS L.P.	13-3597020

b Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☐ No

c Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☐ No

d Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☐ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) DKIP (CAYMAN) LTD. II	**4a Identifying number**, if any
5 Address (including country) 190 ELGIN AVENUE GEORGE TOWN, GRAND CAYMAN KY1-9005 CAYMAN ISLANDS	**4b** Reference ID number DKIPII0001

6 Country code of country of incorporation or organization

CJ

7 Foreign law characterization (see instructions)

CORPORATION

8 Is the transferee foreign corporation a controlled foreign corporation? ☒ Yes ☐ No

Part III **Information Regarding Transfer of Property** (see instructions)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash			142,951.		
Stock and securities					
Installment obligations, account receivables or similar property					
Foreign currency or other property denominated in foreign currency					
Inventory					
Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))					
Tangible property used in trade or business not listed under another category					
Intangible property					
Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))					
Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))					
Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))					
Other property					

Supplemental Information Required To Be Reported (see instructions):
N/A

Part IV Additional Information Regarding Transfer of Property (see instructions)

9 Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

(a) Before .0613 % (b) After .0613 %

10 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351

11 Indicate whether any transfer reported in Part III is subject to any of the following:

		Yes	No
a	Gain recognition under section 904(f)(3)	☐	☒
b	Gain recognition under section 904(f)(5)(F)	☐	☒
c	Recapture under section 1503(d)	☐	☒
d	Exchange gain under section 987	☐	☒

12 Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? ☐ Yes ☒ No

13 Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

		Yes	No
a	Tainted property	☐	☒
b	Depreciation recapture	☐	☒
c	Branch loss recapture	☐	☒
d	Any other income recognition provision contained in the above-referenced regulations	☐	☒

14 Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☒ No

15 a Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? ☐ Yes ☒ No

b If the answer to line 15a is "Yes," enter the amount of foreign goodwill or going concern value transferred ▶ $ ______

16 Was cash the only property transferred? ☒ Yes ☐ No

17 a Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? ☐ Yes ☒ No

b If "Yes," describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

Form **8868** (Rev. January 2017)

Department of the Treasury
Internal Revenue Service

Application for Automatic Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at** ***www.irs.gov/form8868*** **.**

OMB No. 1545-1709

Electronic filing ***(e-file).*** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile*, click on Charities & Non-Profits, and click on *e-file* for *Charities and Non-Profits.*

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. NEW YORK FOUNDATION	Employer identification number (EIN) or 13-5626345
	Number, street, and room or suite no. If a P.O. box, see instructions. 10 EAST 34TH STREET, 10TH FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10016-4327	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MARIA MOTTOLA
10 EAST 34TH STREET, 10TH FL - NEW YORK, NY 10016-4327
Telephone No. ▶ 212 594-8009 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box .... ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until NOVEMBER 15, 2017 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 2016 or
▶ ☐ tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 55,450.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$ 55,450.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$ 0.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev. 1-2017)

623841 01-11-17

TAX RETURN FILING INSTRUCTIONS

NEW YORK FORM CHAR500

FOR THE YEAR ENDING

DECEMBER 31, 2016

Prepared for	NEW YORK FOUNDATION 10 EAST 34TH STREET, 10TH FL NEW YORK, NY 10016-4327
Prepared by	PKF O'CONNOR DAVIES, LLP 665 FIFTH AVENUE NEW YORK, NY 10022-5342
Amount due or refund	BALANCE DUE OF $1,500.00
Make check payable to	DEPARTMENT OF LAW
Mail tax return and check (if applicable) to	NYS OFFICE OF ATTORNEY GENERAL CHARITIES BUREAU REGISTRATION SECTION 120 BROADWAY NEW YORK, NY 10271
Return must be mailed on or before	JANUARY 2, 2018
Special Instructions	THE REPORT SHOULD BE SIGNED AND DATED BY THE AUTHORIZED INDIVIDUAL(S). THE ATTACHED COPY OF FEDERAL FORM 990-PF MUST BE PROPERLY SIGNED AND DATED. WE RECOMMEND ALL MAILINGS TO TAXING AUTHORITIES BE MADE BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED. PLEASE RETAIN THE RECEIPT AS PROOF OF TIMELY FILING. PLEASE REVIEW YOUR RETURN FOR COMPLETENESS AND ACCURACY. A COPY OF THE RETURN IS ENCLOSED FOR YOUR FILES. WE SUGGEST THAT YOU RETAIN THE COPY INDEFINITELY.

CHAR500

NYS Annual Filing for Charitable Organizations
www.CharitiesNYS.com

Send with fee and attachments to:
NYS Office of the Attorney General
Charities Bureau Registration Section
120 Broadway
New York, NY 10271

2016

Open to Public Inspection

1.General Information

For Fiscal Year Beginning (mm/dd/yyyy) 01/01/2016 and Ending (mm/dd/yyyy) 12/31/2016

Check if Applicable:	Name of Organization:	Employer Identification Number (EIN):
☐ Address Change	NEW YORK FOUNDATION	13-5626345
☐ Name Change ☐ Initial Filing	Mailing Address: 10 EAST 34TH STREET, 10TH FL	NY Registration Number: 00-30-20
☐ Final Filing ☐ Amended Filing	City / State / ZIP: NEW YORK, NY 10016-4327	Telephone: 212 594-8009
☐ Reg ID Pending	Website: WWW.NYF.ORG	Email:

Check your organization's registration category: ☐ 7A only ☒ EPTL only ☐ DUAL (7A & EPTL) ☐ EXEMPT

Confirm your Registration Category in the Charities Registry at www.CharitiesNYS.com

2. Certification

See instructions for certification requirements. Improper certification is a violation of law that may be subject to penalties.

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

President or Authorized Officer: MARIA MOTTOLA EXECUTIVE DIRECTOR

Signature — Print Name and Title — Date

Chief Financial Officer or Treasurer: GAIL GORDON TREASURER

Signature — Print Name and Title — Date

3. Annual Reporting Exemption

Check the exemption(s) that apply to your filing. If your organization is claiming an exemption under one category (7A or EPTL only filers) or both categories (DUAL filers) that apply to your registration, complete only parts 1, 2, and 3, and submit the certified Char500. No fee, schedules, or additional attachments are required. If you cannot claim an exemption or are a DUAL filer that claims only one exemption, you must file applicable schedules and attachments and pay applicable fees.

☐ 3a. 7A filing exemption: Total contributions from NY State including residents, foundations, government agencies, etc, did not exceed $25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during the fiscal year. Or the organization qualifies for another 7A exemption (see instructions).

☐ 3b. EPTL filing exemption: Gross receipts did not exceed $25,000 and the market value of assets did not exceed $25,000 at any time during the fiscal year.

4. Schedules and Attachments

See the following page for a checklist of schedules and attachments to complete your filing.

☐ Yes ☐ No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? If yes, complete Schedule 4a.

☐ Yes ☐ No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.

5. Fee

See the checklist on the next page to calculate your fee(s). Indicate fee(s) you are submitting here:	7A filing fee:	EPTL filing fee:	Total fee:	Make a single check or money order payable to: **"Department of Law"**
	$	$ 1,500.	$ 1,500.	

NEW YORK FOUNDATION

CHAR500 Annual Filing Checklist	Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF: - Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3. - Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3. - Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

Checklist of Schedules and Attachments

Check the schedules you must submit with your CHAR500 as described in Part 4:

☐ If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
☐ If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:

[X] IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
[X] All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors).
☐ Our organization was eligible for and filed an IRS 990-N e-postcard. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant's Review or Audit Report:

☐ Review Report if you received total revenue and support greater than $250,000 and up to $750,000.
☐ Audit Report if you received total revenue and support greater than $750,000
☐ No Review Report or Audit Report is required because total revenue and support is less than $250,000
☐ We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:

☐ $0, if you checked the 7A exemption in Part 3a
☐ $25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:

☐ $0, if you checked the EPTL exemption in Part 3b
☐ $25, if the NET WORTH is less than $50,000
☐ $50, if the NET WORTH is $50,000 or more but less than $250,000
☐ $100, if the NET WORTH is $250,000 or more but less than $1,000,000
☐ $250, if the NET WORTH is $1,000,000 or more but less than $10,000,000
☐ $750, if the NET WORTH is $10,000,000 or more but less than $50,000,000
[X] $1500, if the NET WORTH is $50,000,000 or more

Is my Registration Category 7A, EPTL, DUAL or EXEMPT?

Organizations are assigned a Registration Category upon registration with the NY Charities Bureau:

7A filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")

EPTL filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.

DUAL filers are registered under both 7A and EPTL.

EXEMPT filers have registered with the NY Charities Bureau and meet conditions in **Schedule E - Registration Exemption for Charitable Organizations**. These organizations are not required to file annual financial reports but may do so voluntarily.

Confirm your Registration Category and learn more about NY law at www.CharitiesNYS.com

Where do I find my organization's NET WORTH?

NET WORTH for fee purposes is calculated on:
- IRS Form 990 Part I, line 22
- IRS Form 990 EZ Part I, line 21
- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).

Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:

NYS Office of the Attorney General
Charities Bureau Registration Section
120 Broadway
New York, NY 10271